Sofosbuvir-Based Regimens for Chronic Hepatitis C in a Well-Insured U.S. Population: Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015

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ABSTRACT

BACKGROUND: Chronic hepatitis C (CHC) is a leading cause of morbidity and mortality and has imposed a high health care burden in the United States. Direct-acting antiviral (DAA) regimens are well tolerated and highly effective for CHC therapy but were initially marketed at a high price. Studies of their real-world use with a nationwide population are limited.

OBJECTIVE: To examine patient characteristics, treatment adherence, effectiveness, and health care costs in a large U.S. population with commercial and Medicare supplemental insurance plans who received simeprevir (SIM), sofosbuvir (SOF), or ledipasvir/sofosbuvir (LED/SOF) during the years 2013-2015.

METHODS: Patients with at least 1 diagnosis code for CHC and at least 1 claim for SIM, SOF, or LED/SOF prescriptions were selected. The date of the first claim for SIM, SOF, or LED/SOF was defined as the index date. Analyses were stratified by 4 regimens: SOF + SIM ± ribavirin (RBV), SOF + peginterferon alpha-2a or 2b (PEG) + RBV, SOF + RBV, and LED/SOF ± RBV. Adherence was defined by the proportion of days covered (PDC) ≥ 80%. Sustained virologic response (SVR12) was defined as a hepatitis C virus (HCV) RNA load of ≤ 25 IU/mL measured at ≥ 12 weeks following the end of the days supply of the last DAA refill. Health care costs such as DAA drug costs and medical costs (inpatient costs plus outpatient costs) were described.

RESULTS: Of 10,808 CHC patients, approximately two thirds were male, and mean age was 55 years. The proportion of patients with compensated cirrhosis among each regimen ranged from 7.4% in LED/SOF ± RBV to 13.8% in SOF + SIM ± RBV, and the proportion of patients with decompensated cirrhosis ranged from 3.9% in LED/SOF ± RBV to 10.7% in SOF + SIM ± RBV. The majority of patients (89.0%) used the newer regimen LED/SOF ± RBV in 2015. Adherence rates were estimated at 80.5%, 81.5%, 85.7%, and 91.4% for SOF + SIM ± RBV (n = 1,761); SOF + PEG + RBV (n = 1,314); SOF + RBV (n = 1,994); and LED/SOF ± RBV (n = 5,739), respectively. Regimen-specific adherence predictors included sex, age, group, payer type, health plan, and treatment option with RBV. Being born during 1945-1965, liver disease severity, and Charlson Comorbidity Index levels did not predict adherence in any regimen. Overall SVR12 was 92.6% in 203 patients with available HCV RNA results: 100% (41/41) in SOF + SIM ± RBV; 83.3% (25/30) in SOF + PEG + RBV; 90.6% (29/32) in SOF + RBV; and 93% (93/100) in LED/SOF ± RBV. While the drug costs for these DAA regimens were initially high, they had decreased 18.9% ($P < 0.001$) during 2013-2015. Medical costs decreased 9.2% ($P < 0.001$) 1 year after the index dates.

CONCLUSIONS: These results indicate that DAA drug costs decreased steadily during 2013-2015 and that 89% of patients on SOF-based DAA regimens took newer, lower-cost regimens with adherence rates above 80%. Available data show that SVR12 rates were close to those obtained in clinical studies. Medical costs also significantly decreased 1 year after the index dates.

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What is already known about this subject

- Chronic hepatitis C (CHC) is a major cause of morbidity and mortality and has resulted in significant health care expenditures in the United States.
- The sofosbuvir (SOF)-based direct-acting antiviral (DAA) regimens have demonstrated high cure rates, good tolerability, and shorter treatment periods for CHC compared with older treatment options.
- Studies of the SOF-based regimens have been conducted mainly in clinical trials, cohort registries, and among U.S. military veterans; however, the real-world use of these regimens in the larger population is less described.

What this study adds

- This study assessed patient characteristics, treatment adherence, effectiveness, and health care costs of CHC treatment in a large U.S. population with commercial and Medicare supplemental insurance plans who were treated with SOF-based regimens during the years 2013-2015.
- The majority of patients on SOF-based DAA regimens took newer, lower cost regimens with high adherence, with SVR12 rates close to those obtained in clinical studies.
- Drug costs for SOF-based regimens were initially high but decreased steadily during 2013-2015, along with medical costs, which also significantly decreased 1 year after the initiation of DAA treatment.

Chronic hepatitis C (CHC) is a major cause of morbidity and mortality and has resulted in significant health care expenditures in the United States.¹ Historically, CHC has been treated with a combination of peginterferon alpha-2a or 2b (PEG) and ribavirin (RBV) for 24 or 28 weeks, with adverse effects and modest sustained virologic response (SVR) rates (40%-50%).² In 2011, the U.S. Food and Drug Administration (FDA) approved 2 protease inhibitors—boceprevir and telaprevir—to treat CHC in combination with PEG and RBV. These regimens improved SVR rates up to 70% but with increased adverse events and discontinuations.³ A breakthrough in CHC therapy came with new direct-acting antiviral (DAA) regimens, including simeprevir (SIM, approved

December 3, 2013),⁴ sofosbuvir (SOF, approved December 6, 2013),⁵ and ledipasvir/sofosbuvir (LED/SOF, approved October 10, 2014).⁶ Combinations of these medicines with or without RBV have demonstrated high SVR rates ($\geq 90\%$), good tolerability, and shorter treatment period.^{7,8}

Studies of the SOF-based regimens have been conducted mainly in clinical trials,⁹⁻¹⁴ cohort registries (e.g., TRIO and TARGET),¹⁵⁻¹⁸ and among U.S. military veterans.¹⁹⁻²¹ Patient characteristics and treatment management in routine medical practice and the wider CHC patient population could differ from that of tightly controlled clinical trials, cohort participations, and the veteran population. For example, treatment adherence in routine care is complex and influenced by many factors, including characteristics and preferences of patients and providers, payer policies, and other health system factors. Data on adherence to SOF-based regimens in larger populations are limited.

Understanding the cost of SOF-based regimens within the context of all health care costs of treating CHC remains important to patients, providers, payers, and other stakeholders. The wholesale acquisition cost (WAC) for SOF was approximately \$84,000 in 2017 for a 12-week treatment course,²² not accounting for additional costs, such as those from the drug supply chain, other regimen components, and pharmacy dispensing fees. The actual drug costs may be modified further by price negotiations between pharmacy benefit managers (PBMs), drug manufacturers, and payers. Actual DAA costs have been estimated by a few small-scale studies in local ambulatory care settings.²³⁻²⁶ The magnitude of these DAA costs for the nationwide population is not well known.

Since December 2013, the SOF-based regimens have been widely used among CHC patients who received treatment in the United States. Some aspects of this use are recorded in health care claims data, including Truven Health MarketScan Research Databases, which features a large database of claims from over 170 million patients since 1995. The goal of this study was to use the MarketScan databases to describe patient characteristics, treatment adherence, effectiveness, and health care costs among CHC-diagnosed patients who had claims for SOF-based regimens paid by commercial or Medicare supplemental insurance during 2013-2015.

Methods

Data Sources

This study used data from MarketScan Commercial Claims and Encounters (CCAE) and Medicare Supplemental databases from January 1, 2012, through December 31, 2015. The 2015 claims database contained claims initiated in 2015 but with processing dates through March 2016. These databases contain deidentified information about enrollment and medical and pharmacy claims for approximately 91 million persons,

including active employees, early retirees, and their dependents. Claim records contain demographics (e.g., age, sex, and geographic region); health plan enrollment; and characteristics of health services utilization such as service date, *International Classification of Diseases, Ninth Revision, Clinical Modification* (ICD-9-CM) diagnosis, Current Procedural Terminology (CPT) codes, Healthcare Common Procedure Coding System (HCPCS) codes, payments, and drug prescriptions filled for each enrollee. Starting October 1, 2015, *International Classification of Diseases, Tenth Revision, Clinical Modification* (ICD-10-CM) has been used in the MarketScan databases. This study also used MarketScan lab databases (from January 1, 2012, through December 31, 2015), which are linked claim-laboratory databases for a subset of approximately 3 million enrollees included in the claim databases. This descriptive analysis used only deidentified health care claims data, which did not require institutional review board approval.

Study Population

Patients with at least 1 diagnosis code for CHC (ICD-9-CM codes 070.44, 070.54, 070.70, and 070.71; ICD-10-CM codes B18.2, B19.20, and B19.21) and at least 1 claim for SIM, SOF, or LED/SOF prescriptions were selected. The date of the first claim for SIM, SOF, or LED/SOF was defined as the treatment initiation date or the index date. Patients with any diagnosis for hepatitis B (ICD-9-CM codes 070.20, 070.21, 070.22, 070.23, 070.30, 070.31, 070.32, and 070.33; ICD-10-CM codes B16.0, B16.1, B16.2, B16.9, B18.0, B18.1, B19.10, and B19.11) were excluded. To be eligible for the analysis, patients were required to have (a) continuous enrollment in a health plan at least 6 months before and after the index date; (b) paid claims for SOF+SIM $\pm$ RBV, SOF+PEG+RBV, SOF+RBV, or LED/SOF $\pm$ RBV regimens; and (c) at least 1 claim with DAA drug supply information following the index date (Appendix A, available in online article).

The 6-month period after the index date was selected because the longest treatment regimen in this study was 24 weeks or 5.6 months. The earliest possible index date for this analysis was November 2013, when SIM was approved by the FDA, and the latest possible index date was in early July 2015, to allow a minimum of 6 months continuous enrollment after the index date.

Patient Characteristics

Patient demographic characteristics and clinical conditions were summarized using available claims data 1 year before the index date. Demographic characteristics included age, sex, geographic region, payer type, and health insurance plan. Clinical conditions included Charlson Comorbidity Index (CCI) score²⁷ and comorbidities of interest such as compensated cirrhosis, decompensated cirrhosis, human immunodeficiency virus (HIV) infection, liver cancer, and liver transplant. History of

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TABLE 1 Demographic Characteristics of Patients Who Received SOF-Based Regimens for CHC Therapy in a U.S. Population with Commercial and Medicare Supplemental Insurance Plans,^a 2013-2015

Characteristics	SOF + SIM ± RBV (n = 1,761)	SOF + PEG + RBV (n = 1,314)	SOF + RBV (n = 1,994)	LED/SOF ± RBV (n = 5,739)
Sex, n (%)				
Male	1,119 (63.5)	852 (64.8)	1,303 (65.3)	3,638 (63.4)
Female	642 (36.5)	462 (35.2)	691 (34.7)	2,101 (36.6)
Age				
Mean ± SD	56.2 ± 8.1	52.9 ± 9.9	53.4 ± 10.3	55.5 ± 9.2
Median: min, max	57: 16, 82	55: 15, 78	55: 15, 85	57: 15, 90
Age (category), n (%)				
≤ 34	46 (2.6)	88 (6.7)	136 (6.8)	235 (4.1)
35-44	63 (3.6)	89 (6.8)	122 (6.1)	281 (4.9)
45-54	462 (26.2)	422 (32.1)	638 (32.0)	1,493 (26.0)
55-64	1,005 (57.1)	652 (49.6)	950 (47.6)	3,178 (55.4)
65+	185 (10.5)	63 (4.8)	148 (7.4)	552 (9.6)
Born during 1945-1965, n (%)				
Yes	1,537 (87.3)	1,072 (81.6)	1,615 (81)	4,869 (84.8)
No	224 (12.7)	242 (18.4)	379 (19)	870 (15.2)
Region, n (%)				
Northeast	465 (26.4)	350 (26.6)	472 (23.7)	1,466 (25.5)
North Central	297 (16.9)	197 (15)	339 (17.0)	1,008 (17.6)
South	759 (43.1)	560 (42.6)	757 (38.0)	2,366 (41.2)
West	219 (12.4)	184 (14.0)	404 (20.3)	894 (15.6)
Unknown	21 (1.2)	23 (1.8)	22 (1.1)	5 (0.1)
Payer type, n (%)				
Commercial insurance	1,395 (79.2)	1,179 (89.7)	1,741 (87.3)	4,759 (82.9)
Medicare supplemental plan	366 (20.8)	135 (10.3)	253 (12.7)	980 (17.1)
Health plan type, n (%)				
EPO	17 (1.0)	17 (1.3)	19 (1.0)	25 (0.4)
HMO	191 (10.8)	166 (12.6)	253 (12.7)	678 (11.8)
PPO	921 (52.3)	686 (52.2)	1,088 (54.6)	3,271 (57.0)
POS	180 (10.2)	115 (8.8)	183 (9.2)	572 (10.0)
Other ^b	452 (25.7)	330 (25.1)	451 (22.6)	1,193 (20.8)

^aDemographic characteristics were summarized using available claims data 1 year before the index date. The index date was the first prescription date for SIM, SOF, or LED/SOF.

^bOther health plans include basic/major medical, comprehensive, consumer-driven health plan, and high-deductible health plan.

CHC=chronic hepatitis C; EPO=exclusive provider organization; HMO=health maintenance organization; LED=ledipasvir; max=maximum; min=minimum; PEG=pegylated interferon alpha-2a or 2b; POS=point of service; PPO=preferred provider organization; RBV=ribavirin; SD=standard deviation; SIM=simeprevir; SOF=sofosbuvir.

alcohol dependence and abuse and injection and noninjection drug use were also included. All related ICD-9-CM, ICD-10-CM, CPT, and other codes are provided in Appendix B (available in online article).

Treatment Adherence

Depending on patient clinical characteristics and hepatitis C virus (HCV) genotypes, the FDA has recommended various treatment courses, such as 12 or 24 weeks for the SOF+SIM±RBV, SOF + PEG + RBV, SOF + RBV regimens and 8, 12, or 24 weeks for the LED/SOF ± RBV regimen. Due to lack of genotype information and possibly missing data for cirrhosis and previous CHC treatment, the intended treatment duration could not be distinguished in this study. To estimate adherence rate, proportion

of days covered (PDC) was used based on available literature.²⁸⁻³⁰ PDC was calculated as the number of days covered by the DAA drugs divided by the total treatment duration days. For the SOF+SIM±RBV, SOF+PEG+RBV, and SOF+RBV regimens, we defined the total treatment duration as 12 weeks (84 days), if the observation period (duration between the index date and last refill date plus days of last drug supply) was within 14 weeks. Otherwise, we assumed the regimens were intended for 24 weeks (168 days) of treatment. For the LED/SOF ± RBV regimen, we defined the total treatment duration as 8 weeks (56 days, observation period ≤ 10 weeks); 12 weeks (84 days, observation period > 10 weeks and observation period ≤ 14 weeks); or 24 weeks (168 days, observation period > 14 weeks). Given that higher values of PDC imply

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TABLE 2 Clinical Characteristics of Patients Who Received SOF-Based Regimens for CHC Therapy in a U.S. Population with Commercial and Medicare Supplemental Insurance Plans,^a 2013-2015

Characteristics	SOF + SIM ± RBV (n = 1,761)	SOF + PEG + RBV (n = 1,314)	SOF + RBV (n = 1,994)	LED/SOF ± RBV (n = 5,739)
Treatment option with RBV, n (%)				
No	1,506 (85.5)	NA	NA	5,470 (95.3)
Yes	255 (14.5)			269 (4.7)
Liver disease severity, n (%)				
Noncirrhotic disease	1,330 (75.5)	1,086 (82.6)	1,612 (80.8)	5,094 (88.8)
Compensated cirrhosis	243 (13.8)	173 (13.2)	204 (10.2)	422 (7.4)
Decompensated cirrhosis	188 (10.7)	55 (4.2)	178 (8.9)	223 (3.9)
Liver cancer, n (%)				
No	1,709 (97.0)	1,305 (99.3)	1,944 (97.5)	5,674 (98.9)
Yes	52 (3.0)	9 (0.7)	50 (2.5)	65 (1.1)
Liver transplant, n (%)				
No	1,663 (94.4)	1,293 (98.4)	1,935 (97.0)	5,653 (98.5)
Yes	98 (5.6)	21 (1.6)	59 (3.0)	86 (1.5)
HIV coinfection, n (%)				
No	1,734 (98.5)	1,291 (98.2)	1,960 (98.3)	5,639 (98.3)
Yes	27 (1.5)	23 (1.8)	34 (1.7)	100 (1.7)
CCI score, n (%)				
0	1,214 (68.9)	966 (73.5)	1,463 (73.4)	4,769 (83.1)
1	231 (13.1)	219 (16.7)	263 (13.2)	527 (9.2)
≥2	316 (17.9)	129 (9.8)	268 (13.4)	443 (7.7)
CCI				
Mean ± SD	0.83 ± 1.65	0.53 ± 1.29	0.69 ± 1.57	0.41 ± 1.21
Median: min, max	0: 0, 13	0: 0, 14	0: 0, 12	0: 0, 13
History of alcohol dependence/abuse, n (%)				
No	1,717 (97.5)	1,292 (98.3)	1,947 (97.6)	5,673 (98.8)
Yes	44 (2.5)	22 (1.7)	47 (2.4)	66 (1.2)
History of injection/noninjection drug abuse, n (%)				
No	1,717 (97.5)	1,249 (95.1)	1,924 (96.5)	5,619 (97.9)
Yes	44 (2.5)	65 (4.9)	70 (3.5)	120 (2.1)

^aClinical conditions were summarized using available claims data 1 year before the index date. The index date was the first prescription date for SIM, SOF, or LED/SOF. CCI = Charlson Comorbidity Index; CHC = chronic hepatitis C; HIV = human immunodeficiency virus; LED = ledipasvir; max = maximum; min = minimum; NA = not applicable; PEG = pegylated interferon alpha-2a or 2b; RBV = ribavirin; SD = standard deviation; SIM = simeprevir; SOF = sofosbuvir.

better treatment adherence, patients with a PDC value <80% (i.e., less than 80% adherence) were classified as nonadherent. Conversely, patients with a PDC ≥80% were classified as being adherent to treatment.³¹

To determine adherence predictors, multiple logistic regression was conducted by each regimen using demographic and clinical characteristics such as sex, age group, birth date during 1945-1965, payer type, treatment option with RBV (only for SOF + SIM ± RBV and LED/SOF ± RBV), health plan, liver disease severity, and CCI levels. The selected characteristics were defined a priori, and no model selection was conducted.

Sustained Virologic Response

Patients with at least 1 HCV RNA testing result (CPT/HCPCS codes 87520, 87521, 87522, 3218F, 3220F, 3265F, G9209, and G9203) ≥12 weeks following the end of the days supply of

the last DAA refill were selected for SVR analysis. SVR12 was defined as an HCV RNA viral load below the limit of quantification (25 IU/mL).³²

Health Care Costs

The 6-month drug cost following the index date was determined because the majority of patients completed the DAA treatment in accordance with FDA recommendations. Potential drugs for CHC treatment by regimens include PEG-2a, PEG-2b, RBV, LED, SIM, or SOF. Drug costs included ingredient costs, dispensing fees, and sale tax. To compare drug cost changes for CHC treatment over time, patients were grouped into 3 yearly cohorts (2013, 2014, and 2015) based on their index dates. Difference of drug costs by years were assessed by nonparametric Kruskal-Wallis tests.

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Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015**

TABLE 3 Treatment Adherence and Its Predictors Among Patients Who Received SOF-Based Regimens for CHC Therapy in a U.S. Population with Commercial and Medicare Supplemental Insurance Plans, 2013-2015

	SOF + SIM ± RBV (n = 1,761)		SOF + PEG + RBV (n = 1,314)		SOF + RBV (n = 1,994)		LED/SOF ± RBV (n = 5,739)	
Treatment adherence, n (%) ^a								
Yes	1,417	(80.5)	1,071	(81.5)	1,709	(85.7)	5,245	(91.4)
No	344	(19.5)	243	(18.5)	285	(14.3)	494	(8.6)
AOR (95% CI) ^b								
Sex								
Male	1.12	(0.87-1.43)	1.29	(0.96-1.72)	1.54	(1.18-2.00)	1.07	(0.88-1.30)
Female (reference)								
Age groups								
≤ 34	0.41	(0.18-0.97)	1.29	(0.41-4.11)	1.13	(0.49-2.61)	0.60	(0.30-1.20)
35-44	0.99	(0.41-2.42)	1.03	(0.33-3.19)	0.80	(0.35-1.83)	0.41	(0.22-0.78)
45-54	0.91	(0.49-1.67)	1.05	(0.44-2.51)	1.36	(0.65-2.86)	0.55	(0.33-0.93)
55-64	0.81	(0.47-1.40)	1.17	(0.51-2.65)	0.90	(0.44-1.81)	0.67	(0.41-1.09)
65+ (reference)								
Born during 1945-1965								
Yes	1.13	(0.65-1.98)	0.73	(0.36-1.50)	1.33	(0.74-2.41)	1.13	(0.73-1.75)
No (reference)								
Region								
Northeast (reference)								
North Central	0.85	(0.59-1.24)	1.06	(0.68-1.66)	0.88	(0.59-1.31)	0.91	(0.68-1.21)
South	0.93	(0.69-1.26)	1.06	(0.75-1.50)	0.89	(0.64-1.24)	1.04	(0.82-1.32)
West	1.51	(0.95-2.41)	1.31	(0.80-2.15)	1.34	(0.89-2.03)	0.97	(0.72-1.32)
Unknown	1.29	(0.36-4.60)	5.06	(0.66-38.5)	0.79	(0.22-2.79)	0.37	(0.04-3.39)
Payer type								
Commercial insurance	2.09	(1.44-3.03)	1.39	(0.77-2.52)	1.61	(0.96-2.69)	1.38	(0.97-1.95)
Medicare supplemental plan (reference)								
Health plan type								
EPO	0.53	(0.19-1.49)	0.74	(0.23-2.36)	0.98	(0.27-3.51)	0.42	(0.14-1.26)
HMO	1.46	(0.95-2.25)	1.05	(0.64-1.73)	0.98	(0.63-1.52)	0.87	(0.61-1.23)
PPO	1.82	(1.37-2.40)	1.12	(0.79-1.58)	1.26	(0.92-1.73)	0.92	(0.72-1.19)
POS	1.72	(1.09-2.71)	0.80	(0.48-1.35)	0.83	(0.52-1.31)	0.87	(0.60-1.25)
Other (reference)								
Treatment option with RBV								
Yes	1.71	(1.24-2.35)	NA		NA		1.38	(0.92-2.05)
No (reference)								
Liver disease severity								
Noncirrhotic disease (reference)								
Compensated cirrhosis	1.74	(0.98-3.09)	1.31	(0.71-2.40)	0.65	(0.35-1.18)	1.53	(0.88-2.63)
Decompensated cirrhosis	0.99	(0.57-1.73)	1.30	(0.56-3.04)	1.21	(0.64-2.28)	1.20	(0.69-2.08)
CCI score								
0 (reference)								
1	0.77	(0.45-1.32)	0.87	(0.52-1.47)	1.41	(0.80-2.48)	0.96	(0.60-1.54)
≥ 2	0.92	(0.57-1.51)	1.23	(0.67-2.25)	0.94	(0.55-1.59)	0.75	(0.51-1.12)

^aTreatment adherence was defined by ≥ 80% PDC, which was calculated as the number of days covered by the DAA drugs divided by a fixed number days of treatment duration, depending on regimens and observation period (the duration of last refill date plus last drug supply days since the index date). For the SOF + SIM ± RBV, SOF + PEG + RBV, and SOF + RBV regimens, the fixed number was 84 days (observation period ≤ 14 weeks) or 168 days (observation period > 14 weeks). For the LED/SOF ± RBV regimen, the fixed number was 56 days (observation period ≤ 10 weeks), 84 days (10 weeks < observation period ≤ 14 weeks), and 168 days (observation period > 14 weeks).

^bMultiple logistic regression was conducted to identify significant predictors of treatment adherence by controlling the selected characteristics. Significant AOR was highlighted in bold.

AOR = adjusted odds ratio; CCI = Charlson Comorbidity Index; CHC = chronic hepatitis C; CI = confidence interval; DAA = direct-acting antiviral; EPO = exclusive provider organization; HMO = health maintenance organization; LED = ledipasvir; max = maximum; min = minimum; NA = not applicable; PDC = proportion of days covered; PEG = pegylated interferon alpha-2a or 2b; PPO = preferred provider organization; POS = point of service; RBV = ribavirin; SIM = simeprevir; SOF = sofosbuvir.

FIGURE 1 Six-Month Drug Costs for CHC Treatment Across Years

^a $P < 0.001$ for the nonparametric Kruskal-Wallis test.

CHC = chronic hepatitis C; LED = ledipasvir; PEG = peginterferon alfa-2a or peginterferon alfa-2b; RBV = ribavirin; SIM = simeprevir; SOF = sofosbuvir.

To assess medical costs changes (all-cause inpatient costs plus outpatient costs, excluding drug costs) in a relatively long-term period, patients from the study population were further selected for those who were continuously enrolled in a health plan at 1 year before and after the index dates. The 1-year total medical costs before and after the index dates were determined and compared by nonparametric Wilcoxon tests. All drug and medical cost values were measured by gross payments (including copayments, coinsurance, and deductibles) and reported in 2015 U.S. dollars after adjustment for medical cost inflation.

Results

Patient Characteristics

From the CCAE and Medicare Supplemental databases, 10,808 patients were identified who met all study inclusion criteria (Appendix A). Index dates ranged from December 2013 to July 2015, and service claims dated from December 2012 through December 2015 contributed data to the analysis. The number and percentage of patients in each of the 4 regimens were SOF + SIM ± RBV ($n = 1,761$, 16.3%); SOF + PEG + RBV ($n = 1,314$, 12.2%); SOF + RBV ($n = 1,994$, 18.4%); and LED/SOF ± RBV ($n = 5,739$, 53.1%).

Approximately two thirds of the patients were male. The average age overall was 55 years, ranging from 53-56 years in the 4 regimens (Table 1). Patients born during 1945-1965 (i.e., “baby boomers”) accounted for more than 80% of each regimen. More patients were in the South, ranging from 38% in

the SOF + RBV regimen to 43.1% in the SOF + SIM ± RBV regimen, compared with other geographic locations. Commercially insured patients constituted at least 79% of each regimen, with the remainder enrolled in Medicare supplemental plans. More than half of each regimen had a preferred provider organization (PPO) health plan.

Table 2 summarizes patient clinical characteristics and history of risk behaviors 1 year before the index dates by regimen. In SOF + SIM ± RBV and LED/SOF ± RBV, the proportions of combination with RBV were 14.5% and 4.7%, respectively. The percentage of patients with compensated cirrhosis ranged from 7.4% in LED/SOF ± RBV to 13.8% in SOF + SIM ± RBV, and decompensated cirrhosis ranged from 3.9% in LED/SOF ± RBV to 10.7% in SOF + SIM ± RBV. Few patients had recorded diagnoses for liver cancer (<3%), liver transplant (<6%), or HIV coinfection (<2%) in each regimen. Similar low levels were seen for patients with history of alcohol dependence or abuse (<2.5%) or history of injection or noninjection drug use (<5%). More than 80% of each regimen had a CCI score of ≤ 1.0 .

Treatment Adherence

The mean ± standard deviation (SD) of DAA drug-covered days was 12.3 ± 3.0 weeks in SOF + SIM ± RBV; 12.5 ± 5.1 weeks in SOF + PEG + RBV; 17.1 ± 6.6 weeks in SOF + RBV; and 13.6 ± 6.0 weeks in LED/SOF ± RBV, respectively. More than 80% of patients in SOF + SIM ± RBV and SOF + PEG + RBV had drug-covered days for 12 weeks; 2 modes (46.9% for 12 weeks and

**Sofosbuvir-Based Regimens for Chronic Hepatitis C in a Well-Insured U.S. Population:
Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015**

TABLE 4 One-Year Medical Costs Before and After Index Dates Among Patients Who Received SOF-Based Regimens in a U.S. Population with Commercial and Medicare Supplemental Insurance Plans^a

One-Year Medical Costs, \$ ^b	Before Index Date	After Index Date	Change (%)	P Value ^c
	Mean ± SD (Median)	Mean ± SD (Median)	Mean (Median)	
Overall (N = 4,584)	4,730 ± 22,149 (1,051)	4,296 ± 20,296 (881)	-9.2 (-16.2)	<0.001
SOF + SIM ± RBV (n = 1,230)	6,532 ± 30,323 (1,144)	5,313 ± 24,504 (983)	-18.7 (-14.1)	0.097
SOF + PEG + RBV (n = 825)	2,427 ± 5,730 (917)	3,128 ± 9,087 (847)	28.9 (-7.6)	0.216
SOF + RBV (n = 1,039)	5,792 ± 27,540 (1,113)	5,072 ± 26,720 (997)	-12.4 (-10.4)	0.178
LED/SOF ± RBV (n = 1,490)	3,778 ± 14,032 (1,033)	3,562 ± 15,043 (738)	-5.7 (-28.5)	<0.001

^aPatients were required to be continuously enrolled in a health plan at least 12 months before and after the index dates for the 1-year medical costs estimation. Index date was defined as the first prescription date for SIM, SOF, or LED/SOF.

^bMedical costs included all inpatient costs plus outpatient costs, excluding drug costs. All cost values were reported in U.S. 2015 dollars with adjustment of medical cost inflation.

^cP values for cost changes were determined by the nonparametric Wilcoxon test.

LED = ledipasvir; PEG = pegylated interferon alpha-2a or pegylated interferon alpha-2b; RBV = ribavirin; SD = standard deviation; SIM = simeprevir; SOF = sofosbuvir.

36.1% for 24 weeks) were observed in SOF + RBV; and 3 modes (17.6% for 8 weeks, 59.2% for 12 weeks, and 15.1% for 24 weeks) were observed in LED/SOF ± RBV. Using the PDC ≥ 80% measurement, adherence rates were 80.5%, 81.5%, 85.7%, and 91.4% in SOF + SIM ± RBV, SOF + PEG + RBV, SOF + RBV, and LED/SOF ± RBV, respectively.

Table 3 shows adherence predictors across regimens. In SOF + SIM ± RBV, commercially insured patients had significantly higher odds of adherence than patients with Medicare supplemental plans. (adjusted odds ratio [AOR] = 2.09, 95% confidence interval [CI] = 1.44-3.03); patients without the RBV option had significantly higher odds of adherence than those with RBV (AOR = 1.71, 95% CI = 1.24-2.35); patients with a PPO (AOR = 1.82, 95% CI = 1.37-2.40) or point-of-service (AOR = 1.72, 95% CI = 1.09-2.71) health plan were more likely to be adherent than those with the other health plans. In SOF + RBV, males were more likely to be adherent than females (AOR = 1.54, 95% CI = 1.18-2.00). In LED/SOF ± RBV, patients aged 35-44 years (AOR = 0.41, 95% CI = 0.22-0.78) and 45-54 years (AOR = 0.55, 95% CI = 0.39-0.93) had lower odds of adherence than those aged over 65 years. No significant predictor was found in SOF + PEG + RBV. Birth dates during 1945-1965, liver disease severity, and CCI score were not associated with adherence in any of the 4 regimens.

Sustained Virologic Response

A total of 203 patients had at least 1 valid HCV RNA testing result ≥ 12 weeks after the end of the days supply of the last refill. The overall SVR12 was 92.6% (188/203). SVR12 rates by regimen were 100% (41/41) in SOF + SIM ± RBV; 83.3% (25/30) in SOF + PEG + RBV; 90.6% (29/32) in SOF + RBV; and 93% (93/100) in LED/SOF ± RBV.

Health Care Costs

The mean ± SD (median) of 6-month drug costs for CHC treatment per patient was \$160,929 ± 35,231 (157,361) in

SOF + SIM ± RBV; \$98,845 ± 21,367 (98,461) in SOF + PEG + RBV; \$123,573 ± 47,153 (92,520) in SOF + RBV; and \$105,568 ± 43,937 (95,883) in LED/SOF ± RBV.

To compare the changes in drug costs for CHC treatment over years, patients were grouped into 3 yearly cohorts (2013, 2014, and 2015) based on their index dates (Figure 1). Overall, the mean 6-month drug costs following index dates had decreased 18.9% ($P < 0.001$, 2013 vs. 2015). By regimens, the mean 6-month drug costs had an 8.1% decrease in SOF + SIM ± RBV ($P < 0.001$, 2013 vs. 2015); a 21.5% decrease in SOF + PEG + RBV ($P < 0.001$, 2013 vs. 2015); a 12.4% decrease in SOF + RBV ($P < 0.001$, 2013 vs. 2015); and an 8.4% decrease in LED/SOF ± RBV ($P < 0.001$, 2014 vs. 2015—only available in 2014 and 2015 as the newest treatment option at that time). The proportion of patients using the older regimen SOF + SIM ± RBV was 27% (1,691/6,259) in 2014, with a decrease to 0.1% (39/4,419) in 2015. In contrast, the proportion of patients using the newer regimen LED/SOF ± RBV increased from 28.8% (1,806/6,259) in 2014 to 89% (3,933/4,419) in 2015.

Table 4 shows changes in medical costs (not including drug costs) in the 1-year period before and after the index dates. Overall, the mean ± SD (median) of the 1-year medical costs had decreased from \$4,730 ± 22,149 (1,051) to \$4,296 ± 20,296 (881), with mean reduced 9.2% ($P < 0.001$). By regimen, the mean ± SD (median) of 1-year medical costs had changed from \$6,532 ± 30,323 (1,144) to \$5,313 ± 24,504 (983) in SOF + SIM ± RBV; from \$2,427 ± 5,730 (917) to \$3,128 ± 9,087 (847) in SOF + PEG + RBV; from \$5,792 ± 27,540 (1,113) to \$5,072 ± 26,720 (997) in SOF + RBV; and from \$3,778 ± 14,032 (1,033) to \$3,562 ± 15,043 (738) in LED/SOF ± RBV. These changes were statistically significant in LED/SOF ± RBV (mean reduced 5.7%, $P < 0.001$), but not in the other regimens.

Discussion

This study describes patient characteristics, treatment adherence, effectiveness, and health care costs in a large population

of patients diagnosed with CHC and insured by commercial or Medicare supplemental plans that paid for SOF-based treatment of HCV infection. A particular strength of this analysis is the use of a large health care claims database, which captured a significant cross-section of the U.S. population (about 91 million persons). In addition, this analysis included the key transition period from the interferon-based therapy to the all-oral DAA treatment of CHC, allowing examination of therapy use and cost during the transition. Briefly, claims data show a transition from DAA therapy to the newer treatment regimens with high adherence during 2013-2015. Available data indicates high SVR rates in all of the SOF-based regimens. Although DAA drug costs were initially high, they significantly decreased over years. Medical costs also significantly decreased following the initiation of DAA treatment.

Overall, demographic characteristics were similar across patients in the SOF+SIM±RBV, SOF+PEG+RBV, SOF+RBV, and LED/SOF±RBV regimens. The majority were male, born between 1945 and 1965, and commercially insured. Proportionately more patients were located in the South, which may indicate that a large pool of patients may exist in some of the southern states or that insurance plans included in the MarketScan database disproportionately covered the South. The majority of patients in the SOF+SIM±RBV and LED/SOF±RBV regimens took the drugs without the RBV option. The severity of liver disease varied among the 4 treatment regimens, with the highest proportion of compensated or decompensated cirrhosis in SOF+SIM±RBV and the lowest proportions in LED/SOF±RBV. A similar pattern was also seen with the CCI score, suggesting that patients in LED/SOF±RBV had less severe clinical conditions than those in SOF+SIM±RBV.

This study estimated that the adherence rates were 80.5%, 81.5%, 85.7%, and 91.4% for SOF+SIM±RBV, SOF+PEG+RBV, SOF+RBV, and LED/SOF±RBV, respectively. Barron et al. (2016) found that over 90% completed treatment of SOF-based regimens among 249 commercially insured patients.³² Walker et al. (2015) also found that the adherence rates were 92.6% for SOF+SIM and 89.7% for SOF+SIM+RBV regimens.²⁸ These number are somewhat higher than our estimates. This difference may relate to our conservative assumptions about the treatment duration. We had assumed no more than a 2-week nonadherence gap for the shorter treatment plan (e.g., 14 weeks of observation for the 12-week treatment plan). Otherwise, the longer treatment plan was assumed. This may have resulted in larger denominators for the PDC calculation and underestimated the adherence rates. In contrast to the protease inhibitor-based regimens,²⁴ the high adherence to SOF-based regimens may be attributable to their greater tolerability.

Payer type, health plan, and treatment option with RBV were adherence predictors in SOF+SIM±RBV, while sex and age group were adherence predictors in SOF+RBV and LED/SOF±RBV, respectively. The reasons for this variability of

predictors across regimens remain unknown. Baby boomers, liver disease severity, and CCI levels were not predictors of adherence in any of the 4 regimens. These results may reflect that the regimens are well tolerated, regardless of birth cohort, liver disease severity, or other comorbidities. Adherence was poorer for SOF+SIM+RBV compared with SOF+SIM. It is possible that the burden or complexity of multiple component regimens may reduce patient adherence. Consistently, Walker et al. found lower adherence rates in SOF+SIM+RBV than in SOF+SIM using the MarketScan databases.²⁸

This study examined the real-world effectiveness of SOF-based regimens. Because of the availability of linked claims-laboratory result data from MarketScan and the valid HCV RNA testing results, only a small subset of the patient population (n=203) was eligible for the analysis. The overall SVR12 was 92.6%. The SVR12 rate was the highest in SOF+SIM±RBV (100%), followed by LED/SOF±RBV (93.0%), SOF+RBV (90.6%), and SOF+PEG+RBV (83.3%). These estimates were similar to those reported by other studies.^{9-18,32} Because of limited sample sizes, factors that associated with SVR12 rates were not assessed in this study.

The average 6-month drug cost for CHC treatment per patient following the initiation of DAA treatment was \$160,929 (2015 U.S. dollars) in SOF+SIM±RBV; \$98,845 in SOF+PEG+RBV; \$123,573 in SOF+RBV; and \$105,568 in LED/SOF±RBV. Interestingly, the treatment with fewer components—SOF+RBV—was more expensive than SOF+PEG+RBV. One explanation is that the drug-covered days of SOF+RBV (average 17.1 weeks) was longer than that of SOF+PEG+RBV (average 12.5 weeks). Overall, these cost estimates are close to the WAC-based DAA price (e.g., LED/SOF costs \$94,500 for 12 weeks) and some potential additional costs such as dispensing fees. Consistent with our estimates, Bach and Zaiken (2016) found that the mean ± SD (median) drug cost per patient was \$130,391 ± 46,787 (113,400) among 322 patients with the SOF-based regimens.²³ Langness et al. (2017) found that the average total costs per patient were \$152,775 for the SOF+SIM regimen.²⁶

This study found that drug costs for CHC treatment decreased from 2013 through 2015 for all regimens, especially for the older regimens of SOF+PEG+RBV (-21.5%) and SOF+RBV (-12.4%). Moreover, the newer option LED/SOF±RBV accounted for the majority of patients treated with SOF-based regimens CHC in 2015. These results suggest that although DAA costs remained relatively high, costs of these drugs were declining steadily. Recent reports suggest that further reduction in drug costs continued as competing treatment options became available and large volume purchasers negotiated better prices. For example, the SOF-producer Gilead initially offered only minimal discounts to PBMs. However, after AbbVie entered the marketplace with new competing CHC treatment regimens, Express Scripts—one of the largest

PBMs—was able to force deep discounts from both companies.³³ More recently, and after the analysis period of our study, the FDA approved Mavyret (glecaprevir and pibrentasvir, AbbVie) to treat adults with HCV genotypes 1-6 without cirrhosis or with mild cirrhosis,³⁴ which is by far the least expensive regimen for HCV so far, priced at \$26,400 in 2017 for an 8-week course.³⁵

Finally, this study assessed the medical costs during the 1-year period before and after the index dates (the initiation of DAA treatment). Medical costs in all regimens combined—and in the LED/SOF±RBV regimen alone—had significantly declined 1 year after the initiation of DAA treatment. These findings may indicate the positive effects of DAA treatment on the health care system. Expanding treatment access of DAA regimens to CHC patients is expected to reduce the socioeconomic burdens of HCV in the long run. However, more studies are needed to analyze the cost changes of DAA treatment for longer periods.

Limitations

The findings of this study should be interpreted with the following limitations. First, this study used a large nonrandom sample from a population insured with commercial plans and with Medicare supplemental plans, which is not representative of the U.S. general population. Our results may not be applicable to other patients, such as those insured by Medicaid or Medicare fee-for-service, or uninsured populations who may have less access to hepatitis curative therapies and are in poorer health because of comorbid conditions or more advanced liver disease than was apparent in our study population.³⁶

Second, this study assumed that a paid claim for a filled medication prescription translated into actual use of the medication, which could not be confirmed solely based on the health care claims data used in this study. However, considering the severity of the disease and good tolerability, it was very likely that patients would take the drug as recommended.

Third, HCV genotype information was not available, and data for previous treatment or cirrhosis may have been missing, all of which are necessary to characterize the likely intended treatment plan. The adherence estimates in this study are subject to bias and inaccuracy because of potential misclassification of treatment plans. We used PDC≥80% to define adherence as suggested by the literature.³¹ However, the optimal cut-point of PDC for adherence remains to be determined. In addition, we could not distinguish the restarts of therapy due to lack of SVR results for the majority of patients.

Fourth, the percentages with a history of alcohol or drug abuse may be underestimated because patients with such problems may have little or no documentation of these risk behaviors in the claims database used in this study.³⁷

Finally, medical and drug costs were estimated by the payment information during a fixed time. Some early or late

payments in the claim process beyond that period may not have been captured, which could lead to underestimation of costs. Costs for patients aged 65 years and older may have been underestimated because only patients with a Medicare supplemental plan were included. More studies are warranted to address these limitations, possibly with other supplementary data sources, such as understanding adherence with more information such as genotype and pretreatment history, analyzing predictors of SVR rates with larger sample size, and tracking drug and medical costs during longer periods.

Conclusions

This study describes patient characteristics, treatment adherence, effectiveness, and health care costs among CHC patients in a large U.S. population with commercial and Medicare supplemental insurance plans who received SOF-based treatment regimens during the years 2013-2015. Results suggest that drug costs of SOF-based regimens for CHC treatment had decreased steadily, and most patients in treatment received newer regimens with lower costs with high adherence. Available data showed that SVR12 rates were close to those obtained in clinical studies. The overall medical costs (not including drug costs) had also significantly declined 1 year after the index dates. The combination of less costly regimens that have become available since 2015 and high patient adherence rates found in this study indicates encouraging opportunities for more CHC patients to achieve cure.

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Sofosbuvir-Based Regimens for Chronic Hepatitis C in a Well-Insured U.S. Population: Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015

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**Sofosbuvir-Based Regimens for Chronic Hepatitis C in a Well-Insured U.S. Population:
Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015**

APPENDIX A Flowchart of Study Population and Selected Regimen Distribution

^aThe first prescription date for SIM, SOF, or LED/SOF was defined as the index date.

CHC = chronic hepatitis C; DAA = direct-acting antiviral; HBV = hepatitis B virus; LED = ledipasvir, PEG = pegylated interferon alpha-2a or 2b; RBV = ribavirin; SIM = simeprevir; SOF = sofosbuvir.

**Sofosbuvir-Based Regimens for Chronic Hepatitis C in a Well-Insured U.S. Population:
Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015**

APPENDIX B Diagnosis and Procedure Codes

Condition	Code ^a	Description
HCV	070.44	Chronic hepatitis C with hepatic coma
	070.54	Chronic hepatitis C without mention of hepatic coma
	070.70	Unspecified viral hepatitis C without hepatic coma
	070.71	Unspecified viral hepatitis C with hepatic coma
	B18.2	Chronic viral hepatitis C
	B19.20	Unspecified viral hepatitis C without hepatic coma
	B19.21	Unspecified viral hepatitis C with hepatic coma
HBV	070.20	Viral hepatitis B with hepatic coma, acute or unspecified, without mention of hepatitis delta
	070.21	Viral hepatitis B with hepatic coma, acute or unspecified, with hepatitis delta
	070.22	Viral hepatitis B with hepatic coma, chronic, without mention of hepatitis delta
	070.23	Viral hepatitis B with hepatic coma, chronic, with hepatitis delta
	070.30	Viral hepatitis B without mention of hepatic coma, acute or unspecified, without mention of hepatitis delta
	070.31	Viral hepatitis B without mention of hepatic coma, acute or unspecified, with hepatitis delta
	070.32	Viral hepatitis B without mention of hepatic coma, chronic, without mention of hepatitis delta
	070.33	Viral hepatitis B without mention of hepatic coma, chronic, with hepatitis delta
	B16	Acute hepatitis B
	B16.0	Acute hepatitis B with delta-agent with hepatic coma
	B16.1	Acute hepatitis B with delta-agent without hepatic coma
	B16.2	Acute hepatitis B without delta-agent with hepatic coma
	B16.9	Acute hepatitis B without delta-agent and without hepatic coma
	B18.0	Chronic viral hepatitis B with delta-agent
	B18.1	Chronic viral hepatitis B without delta-agent
	B19.10	Unspecified viral hepatitis B without hepatic coma
	B19.11	Unspecified viral hepatitis B with hepatic coma
Compensated cirrhosis	571.2	Alcoholic cirrhosis of liver
	571.5	Cirrhosis of liver without mention of alcohol
	571.6	Biliary cirrhosis
Decompensated cirrhosis ^b	070.44	Chronic hepatitis C with hepatic coma
	070.71	Unspecified viral hepatitis C with hepatic coma
	348.3x	Encephalopathy not otherwise specified
	456.0, 456.1, 456.2x	Esophageal varices in diseases classified elsewhere with or without bleeding
	572.2	Hepatic encephalopathy
	572.3	Portal hypertension
	572.4	Hepatorenal syndrome
	782.4	Jaundice
	789.59	Other ascites
Liver cancer	155	Malignant neoplasm of liver and intrahepatic bile duct
	197.7	Secondary malignant neoplasm of liver
	230.8	Carcinoma in situ of liver and biliary system
Liver transplant	V42.7	Liver transplant
	47135	Liver allotransplantation, orthotopic, partial or whole, from cadaver or living donor, any age
	47136	Liver allotransplantation, heterotopic, partial or whole, from cadaver or living donor, any age
	50.5x	Liver transplant
	996.82	Complications of transplanted liver
HIV/AIDS	042	HIV disease
	V08	Asymptomatic HIV infection status
	795.71	Nonspecific serologic evidence of HIV
	079.53	Human immunodeficiency virus, type 2

continued on next page

**Sofosbuvir-Based Regimens for Chronic Hepatitis C in a Well-Insured U.S. Population:
Patient Characteristics, Treatment Adherence, Effectiveness, and Health Care Costs, 2013-2015**

APPENDIX B Diagnosis and Procedure Codes (continued)

Condition	Code ^a	Description
History of alcohol dependence/abuse	305.0	Alcohol abuse
	V11.3	Alcoholism
	357.5	Alcoholic polyneuropathy
	425.5	Alcoholic cardiomyopathy
	535.3	Alcoholic gastritis
	571.0	Alcoholic fatty liver
	571.2	Alcoholic cirrhosis of liver
	571.3	Alcoholic liver damage, unspecified
	291.xx	Alcohol induced mental disorders
	303.xx	Alcohol dependence syndrome
History of injection/noninjection drug abuse	305.1x	Tobacco use disorder
	305.2x	Cannabis abuse
	305.3x	Hallucinogen abuse
	305.4x	Sedative, hypnotic or anxiolytic abuse
	305.5x	Opioid abuse
	305.6x	Cocaine abuse
	305.7x	Amphetamine or related acting sympathomimetic abuse
	305.8x	Antidepressant type abuse
	305.9x	Other, mixed, or unspecified drug abuse
	292.xx	Drug induced mental disorders
HCV RNA test	304.xx	Drug dependence
	87520	Infectious agent detection by nucleic acid (DNA or RNA); hepatitis C, direct probe technique
	87521	Infectious agent detection by nucleic acid (DNA or RNA); hepatitis C, amplified probe technique, includes reverse transcription when performed
	87522	Infectious agent detection by nucleic acid (DNA or RNA); hepatitis C, quantification, includes reverse transcription when performed
	3218F	RNA testing for hepatitis C documented as performed within 6 months prior to initiation of antiviral treatment for hepatitis C
	3220F	Hepatitis C quantitative RNA testing documented as performed at 12 weeks from initiation of antiviral treatment
	3265F	RNA testing for hepatitis C viremia ordered or results documented
	G9203	RNA testing for hepatitis C documented as performed within 12 months prior to initiation of antiviral treatment for hepatitis C
	G9209	Hepatitis C quantitative RNA testing documented as performed between 4-12 weeks after the initiation of antiviral treatment

^aCodes for ICD-9-CM diagnosis, ICD-10-CM diagnosis, ICD-9-CM procedure, CPT, or HCPCS procedure.

^bWhen diagnosed in patients with HCV, these conditions are suggestive of decompensated cirrhosis.

CPT= Current Procedural Terminology; DNA= deoxyribonucleic acid; HBV= hepatitis B virus; HCPCS= Healthcare Common Procedure Coding System; HCV= hepatitis C virus; HIV/AIDS= human immunodeficiency virus/acquired immune deficiency syndrome; ICD-9/10-CM= International Classification of Diseases, Ninth/Tenth Revision, Clinical Modification; RNA= ribonucleic acid; SOF= sofosbuvir.